IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

IN RE: UNIFIED MESSAGING

SOLUTIONS LLC AND ADVANCED MESSAGING TECHNOLOGIES, INC.

PATENT LITIGATION

MDL No. 2371

Master Docket No. 12 C 6286

JURY TRIAL DEMANDED

STIPULATION RESERVING RIGHT TO APPEAL AND FOR ENTRY OF FINAL JUDGMENT OF NON-INFRINGEMENT UNDER THE COURT'S CLAIM CONSTRUCTION

WHEREAS, Plaintiffs Unified Messaging Solutions LLC ("UMS") and Advanced Messaging Technologies, Inc. ("AMT") (collectively, "Plaintiffs") brought constituent actions in the above-entitled multi-district litigation proceeding (collectively, the "Constituent Actions") against certain defendants, asserting that they infringe certain claims of United States Patent Nos. 6,857,074 (the "'074 Patent"); 7,836,141 (the "'141 Patent"); 7,895,306 (the "'306 Patent"); 7,895,313 (the "'313 Patent"); and/or 7,934,148 (the "'148 Patent") (collectively, the "Patents-in-Suit");

WHEREAS, the defendants to the pending Constituent Actions (collectively, "Defendants") have denied that the Patents-in-Suit are valid or infringed, and have counterclaimed that each of the Patents-in-Suit is not valid and not infringed;

WHEREAS, the term "message(s) [not including notification message(s)]" is an element of each asserted claim of each of the Patents-in-Suit;

WHEREAS, in its Rulings on Claim Construction (Doc. 639), issued on December 20, 2013, the Court construed the claim term "message(s) [not including notification messages]" to mean "communication(s) received over a telephone line in audio, facsimile, or data file format"

and held, among other things, that email messages are not encompassed within the term's purview;

WHEREAS, on February 25, 2014, this Court denied Plaintiffs' Motion for Reconsideration of the Court's construction of the term "message(s) [not including notification messages]," holding, among other things, that the term does not encompass "messages [that] might be conveyed by means other than telephone lines, such as computer to computer over the Internet" (see Doc. 676);

WHEREAS, the term "data media type" is an element of independent claim 1 of the '074 Patent and other claims depending therefrom;

WHEREAS, in its Rulings on Claim Construction (Doc. 639), issued on December 20, 2013, the Court construed the claim term "data media type" to mean "data media file type" and held, among other things, that email is not encompassed within the term's purview;

WHEREAS, Plaintiffs and Defendants agree: (i) that all Defendants' accused products and systems do not meet the "message(s) [not including notification messages]" limitation of all asserted claims of the Patents-in-Suit under the Court's construction; and (ii) the accused systems of Defendants CoxCom, LLC, EarthLink, Inc., Juno Online Services, Inc., NetZero, Inc., Northwestern Mutual Life Insurance Co., and Yahoo! Inc. do not meet the "data media type" limitation of asserted claims 1, 2, and 4 of the '074 patent under the Court's construction; and

WHEREAS, to avoid the expense and time for resolving a motion by Defendants for summary judgment of non-infringement, Plaintiffs consent to entry of a Final Judgment of Non-infringement by Defendants of the Patents-in-Suit.

THEREFORE, Plaintiffs and Defendants stipulate as follows:

- 1. On the basis of: (i) the Court's constructions of "message(s) [not including notification messages]" and "data media type" (when applicable); and (ii) the stipulation above that Defendants' accused products and systems do not practice the asserted claims in view of the Court's constructions of "message(s) [not including notification messages]" and "data media type" (when applicable), either literally or under the doctrine of equivalents, the Court should enter a final judgment of non-infringement in favor of all Defendants as to all of the Patents-in-Suit, both with respect to Plaintiff's offensive claims of infringement and with respect to Defendants' counterclaims for declaratory judgment of non-infringement. Other issues, including construction of other claim terms of the Patents-in-Suit, do not form a basis of the judgment.
- 2. This stipulation is without prejudice to Plaintiffs' right, if any, to re-assert their claims against Defendants should the United States Court of Appeals for the Federal Circuit reverse or modify, in whole or in part, the Court's Rulings on Claim Construction, including the Court's construction of "message(s) [not including notification message(s)]" and "data media type."
- 3. The parties further stipulate that Defendants' counterclaims for declaratory judgment of invalidity and unenforceability should be dismissed WITHOUT PREJUDICE. Defendants retain the right to assert any and all defenses and counterclaims in the future, including in this action.
- 4. Plaintiffs and Defendants recognize that Defendants believe that there are additional grounds for summary judgment of non-infringement, including but not limited to grounds based on claim constructions other than the terms "message(s) [not including notification messages] and "data media type." The parties agree that to the extent that the final

judgment is not affirmed on appeal and the case is remanded, Defendants have the right to assert these grounds in the future, including the right to assert other non-infringement grounds as the basis of summary judgment, and Plaintiffs have the right to dispute those grounds.

- 5. Plaintiffs and Defendants recognize that this stipulation and agreement as to a final judgment of non-infringement has the same effect for res judicata and collateral estoppel purposes as if Defendants had moved for summary judgment of non-infringement as to each of the Patents-in-Suit based on the Court's construction of "message(s) [not including notification messages]" and "data media type" (where applicable), and the Court granted the motion.
- 6. The foregoing is without prejudice to the rights of Plaintiffs and Defendants to raise on appeal any other issues that may properly be raised.

Plaintiffs and Defendants respectfully request that the Court enter final judgment in the form attached hereto as Final Judgment of Non-Infringement.

DATED: June ___, 2014

WE STIPULATE TO THIS:

Edward R. Nelson, III

Texas State Bar No. 00797142

Lead Counsel

Brent N. Bumgardner

Texas State Bar No. 00795272

Christie B. Lindsey

Texas State Bar No. 24041918

Thomas C. Cecil

Texas State Bar No. 24069489

Jonathan H. Rastegar

Texas State Bar No. 24064043

NELSON BUMGARDNER CASTO, P.C.

3131 West 7th Street, Suite 300

Fort Worth, Texas 76107

(817) 377-9111

enelson@nbclaw.net

bbumgarnder@nbclaw.net

/s/ Yar R. Chaikovsky

Yar R. Chaikovsky

D. Stuart Bartow

Bryan James

MCDERMOTT WILL &EMERY LLP

275 Middlefield Rd., Suite 100

Menlo Park, CA 94025

Tel: 650.8145.7400

Fax: 650.815.7401

ychaikovsky@mwe.com

sbartow@mwe.com

bjames@mwe.com

Jeffrey Stone (SBN 6189590)

Matthew Gryzlo (SBN 6243042)

Amol Parikh (SBN 6285077)

MCDERMOTT WILL &EMERY LLP

227 West Monroe Street

Chicago, Illinois 60606

Tel: 312.372.2000 clindsey@nbclaw.net tcecil@nbclaw.net Fax: 312.984.7700 jrastergar@nbclaw.net jstone@mwe.com mgryzlo@mwe.com Timothy E. Grochocinski amparikh@mwe.com Illinois State Bar No. 6295055 INNOVALAW, P.C. Attorneys for Yahoo! Inc. and Twitter Inc 1900 Ravina Place Orland Park, IL 60462 (708) 675-1974 teg@innovalaw.com Anthony G. Simon Illinois State Bar No. 6209056 Michael P. Kella Illinois State Bar No. 6307908 Benjamin R. Askew Illinois State Bar No. 223950 THE SIMON LAW FIRM, P.C. 800 Market Street, Suite 1700 St. Louis, MO 63101 (314) 241-2929 asimon@simonlawpc.com mkella@simonlawpc.com baskew@simonlawpc.com Andrew G. DiNovo Texas State Bar No. 0079059 Jay D. Ellwanger Texas State Bar No. 24036522 **DINOVO PRICE ELLWANGER &** HARDY, LLP 700 N. Mopac Expy, Suite 350 Austin, Texas 78731 (512) 539-2631 adinovo@dpelaw.com jellwanger@dpelaw.com **Attorneys for Plaintiffs Unified Messaging Solutions LLC and** Advanced Messaging Technologies, Inc. /s/ Bradford L. Lyerla Bradford P. Lyerla Reginald J. Hill

Peter H. Hanna JENNER & BLOCK LLP 353 North Clark Street Chicago, Illinois 60654-3456 Tel: 312.222.9350 Fax: 312.517.0484 rhill@jenner.com phanna@jenner.com bbradford@jenner.com Attorneys for Defendant BMO Harris Bank N.A.
Edwin R. DeYoung Roger Brian Cowie Galyn Gafford LOCKE LORD LLP 2200 Ross Avenue, Suite 2200 Dallas, Texas 75201-6776 Tel.: 214.740.8000 Fax: 214.740.8800 edeyoung@lockelord.com rcowie@lockelord.com ggafford@lockelord.com Attorneys for Defendants HSBC Bank USA, Inc.; HSBC Bank USA, National Association; HSBC North America Holdings, Inc.
/s/ M. Dru Montgomery J. Thad Heartfield Texas Bar No. 09346800 M. Dru Montgomery Texas Bar No. 24010800 THE HEARTFIELD LAW FIRM 2195 Dowlen Road Beaumont, TX 77706 Tel: 409.866.3318 Fax: 409.866.5789 thad@jth-law.com dru@jth-law.com

Attorneys for Defendants Conn's, Inc. and Conn Appliances, Inc.
/s/ Eric S. Walters Eric S. Walters DAVIS WRIGHT TERMAINE LLP 505 Montgomery Street, Suite 800 San Francisco, CA 94111 Tel: (415) 276-6531 Fax: (415) 276-6599 ericwalters@dwt.com
Benjamin J. Byer DAVIS WRIGHT TREMAINE, LLP (WA) 1201 Third Avenue, Suite 2200 Seattle, WA 98101-3045 Tel: (206) 757-8105 Fax: (206) 757-7105 benbyer@dwt.com elainefischman@dwt.com marilynboyd@dwt.com
Edward Pak [212]MEDIA, LLC 460 Park Avenue South, 4th Floor New York, NY 10016 Telephone: (646) 660-9436 Facsimile: (646) 349-4013 edward@212media.com
Attorneys for Defendant Sportsvite, LLC
/s/ Eric B. Hall Joseph P. Lombardo CHAPMAN AND CUTLER LLP 111 W. Monroe Chicago, Illinois 60603 Telephone: (312) 845-3428 Facsimile: (312) 516-1428 lombardo@chapman.com
Eric B. Hall Seth E. Jaffe

FULBRIGHT & JAWORSKI L.L.P.

1301 McKinney, Suite 5100 Houston, TX 77010-3095 Telephone: (713) 651-5151 Facsimile: (713) 651-5246

<u>eric.hall@nortonrosefulbright.com</u> seth.jaffe@nortonrosefulbirght.com

Attorneys for Defendants Huntington Bancshares Inc.

/s/ Jerry Fellows

Jerry Fellows

Kimberly A. Warshawsky

GREENBERG TRAURIG, LLP

2375 East Camelback Road, Suite 700

Phoenix, Arizona 85016

Tel: 602.445.8000 Fax: 602.445.8100 Fellowsj@gtlaw.com warshawskyk@gtlaw.com

Scott J. Bornstein

GREENBERG TRAURIG, LLP

MetLife Building 200 Park Avenue New York, NY 10166

Tel: 212.801.9200 Fax: 212.801.6400

Jeffrey G. Mote James J. Lukas, Jr. Matthew J. Levinstein

GREENBERG TRAURIG, LLP

77 West Wacker Drive, Suite 3100

Chicago, Illinois 60601 Tel: 312.456.8400

Fax: 312.456.8435 motej@gtlaw.com

Attorneys for Defendants Cupid PLC; FriendFinder Networks, Inc.

and Various, Inc.

1
Neil J. McNabnay FISH & RICHARDSON P.C. 1717 Main Street, Suite 5000 Dallas, TX 75201 Tel: 214.747.5070 Fax: 214.747.2091 mcnabnay@fr.com Wasif Qureshi FISH & RICHARDSON P.C. 1221 McKinney Street, Suite 2800 Houston, TX 77010 Tel: 713.654.5300 qureshi@fr.com Attorneys for Defendant Compass Bank
Neil J. McNabnay FISH & RICHARDSON P.C. 1717 Main Street, Suite 5000 Dallas, TX 75201 Tel: 214.747.5070 Fax: 214.747.2091 mcnabnay@fr.com Wasif Qureshi FISH & RICHARDSON P.C. 1221 McKinney Street, Suite 2800 Houston, TX 77010 Tel: 713.654.5300 qureshi@fr.com Michael T. Zoppo FISH & RICHARDSON P.C. 601 Lexington Avenue, 52nd floor New York, NY 10022 Tel: 212.641.2268 zoppo@fr.com Attorneys for Defendant United Services Automobile Association
/s/ David Barkan

FISH & RICHARDSO 12390 El Camino Real San Diego, CA 92130 Tel: 858-678-5070 Fax: 858-678-5099 penner@fr.com Wasif Qureshi FISH & RICHARDSO 1221 McKinney Street, Houston, TX 77010 Tel: 713.654.5300 qureshi@fr.com Attorneys for Defenda Mutual Life Insurance	Suite 2800 ant Northwestern
/s/ Thomas L. Duston Thomas L. Duston Kevin D. Hogg Scott A. Sanderson MARSHALL, GERST 233 South Wacker Driv 6300 Willis Tower Chicago, IL 60606-635 Tel: 312.474.6300 khogg@marshallip.com ssanderson@marshallip tduston@marshallip.com Attorneys for Defenda Groupon, Inc. and Un	7e 7 1 0.com m

Eric Hugh Findlay Michael D. Findlay Findlay Craft, P.C. 102 North College Ave. Suite 900 Tyler, TX 75702 Tel: 903.534.1100 Fax: 903.534.1137 efindlay@findlaycraft.com mfindlay@findlaycraft.com Attorney for Defendant
Multiply, Inc.
Jay F. Utley John G. Flaim Terry A. Saad BAKER & MCKENZIE LLP 2001 Ross Avenue, Suite 2300 Dallas, TX 75201 T + 214 978 3000 F + 214 978 3099 john.flaim@bakermckenzie.com terry.saad@bakermckenzie.com jay.utley@bakermckenzie.com Daniel A. Tallitsch BAKER & MCKENZIE LLP 300 East Randolph Street Chicago, IL 60601 T + 312 861 8024 F + 312 698 2420 daniel.tallitsch@bakermckenzie.com Attorneys for Defendants Fidelity National Information Services, Inc.; Metavante Corporation; Banco Popular North America; EverBank; and People's United Bank
/s/ Jeffrey A. Pine Jeffrey A. Pine DYKEMA GOSSETT PLLC 10 South Wacker Drive, Suite 2300

Chicago, Illinois 60606 (312) 876-1700 jpine@dykema.com John G. Flaim BAKER & MCKENZIE LLP Allan J. Sternstein LATHROP & GAGE LLP 155 N. Wacker Drive, Suite 3050 Chicago, IL 60606 312.920.3300 asternstein@lathropgage.com Attorneys for Defendant Fifth Third Bancorp
/s/ Jason M. Schwent Thomas E. Douglas Jason M. Schwent Anthony F. Blum THOMPSON COBURN LLP One US Bank Plaza St. Louis, MO 63101 314-552-6030 tdouglas@thompsoncoburn.com jschwent@thompsoncoburn.com ablum@thompsoncoburn.com Attorneys for Defendant Scottrade, Inc.
/s/ John A. Leja John A. Leja POLSINELLI PC 161 N. Clark St. Suite 4200 Chicago, Illinois 60601 Tel: (312) 873-3600 Jleja@polsinelli.com Russell S. Jones, Jr. Jay E. Heidrick POLSINELLI PC 900 W. 48 th Place, Suite 900

Kansas City, MO 64112 816.753.1000 816.753.1536 (fax) rjones@polsinelli.com jheidrick@polsinelli.com Attorney for Defendant Sprint Nextel Corporation
/s/ Max Ciccarelli Max Ciccarelli THOMPSON & KNIGHT, LLP 1722 Routh Street, Suite 1500 Dallas, TX 75201 214.969.1599 (Phone) 214.999.1623 (Fax) Max.Ciccarelli@tklaw.com Attorneys for Defendant Southwest Airlines Co.
/s/ Thomas L. Duston Thomas L. Duston Paul B. Stephens Scott A. Sanderson MARSHALL, GERSTEIN & BORUN LLP 6300 Willis Tower 233 South Wacker Drive Chicago, IL 60606-6357 Tel: 312.474.6300 tduston@marshallip.com pstephens@marshallip.com ssanderson@marshallip.com Attorneys for Defendant United Air Lines, Inc.
/s/ Howard Cabot Howard Cabot PERKINS COIE LLP 2901 North Central Avenue, Suite 2000 Phoenix, AZ 85012 Tel: 602.351.8000

	m; 1 I O 11
	Timothy J. Carroll
	Steven M. Lubezny
	PERKINS COIE LLP
	131 South Dearborn Street, Suite 1700
	Chicago, IL 60603
	Tel: 312.324.8400
	tcarroll@perkinscoie.com
	slubezny@perkinscoie.com
	Studezhy @ perkinscole.com
	Dennis Cecil Hopkins
	Manny Caixeiro
	PERKINS COIE LLP
	30 Rockefeller Center, 25th Floor
	New York, NY 10112-0085
	Tel: 212.262.6900
	mcaixeiro@perkinscoie.com
	Attorneys for Defendants
	The Vanguard Group, Inc. and Vanguard
	Marketing Corporation
	.
	/s/ Adam A. Hachikian
	Adam A. Hachikian
	Martin B. Carroll
	FOX, SWIBEL, LEVIN & CARROLL, LLP
	200 West Madison Street, Suite 3000
	Chicago, IL 60606
	312.224.1200
	Ryan Schultz
	Michael A. CollyardSch
	ROBINS, KAPLAN, MILLER & CIRESI
	LLP
	2800 LaSalle Plaza,
	800 LaSalle Avenue
	Minneapolis, MN 55402
	612.349.8500
1	Attornova for Defendents
	Attorneys for Defendants
	U.S. Bancorp and U.S. Bank National
	· ·
	U.S. Bancorp and U.S. Bank National
	U.S. Bancorp and U.S. Bank National
	U.S. Bancorp and U.S. Bank National

Jennifer Yile DePriest David Pollock REED SMITH LLP 10 South Wacker Drive Chicago, IL 60606 312.207.1000 Fax: 312.207.6400 broche@reedsmith.com jdepriest@reedsmith.com dpollock@reedsmith.com Attorneys for Defendant General Electric Capital Services, Inc.
/s/ Deanna R. Swits Jason T. Kunze Jason C. Kravitz Deanna R. Swits NIXON PEABODY LLP 300 S. Riverside Plaza, 16 th floor Chicago, IL 60606 312.425.3973 Fax: 866.843.0475 jkravitz@nixonpeabody.com Attorneys for Defendants State Street Bank and State Street Corporation
/s/ Andrew V. Devkar Richard de Bodo Andrew Devkar BINGHAM MCCUTCHEN LLP The Water Garden Suite 2050 North 1601 Cloverfield Boulevard Santa Monica, CA 90404-4082 Telephone: (310) 907-1000 Facsimile: (310) 907-2000 andrew.devkar@bingham.com Attorneys for Defendants SunTrust Banks, Inc. and SunTrust Bank

/s/ Lisa K. Nguyen

Nicholas J. Siciliano

LATHAM & WATKINS LLP

233 South Wacker Drive, Suite 5800 Chicago, IL 60606 312.876.7700

nicholas.siciliano@lw.com

Matthew J. Moore

LATHAM & WATKINS LLP

555 Eleventh Street, NW, Suite 1000 Washington, DC 20004 202.637.2200

matthew.moore@lw.com

Clement J. Naples

LATHAM & WATKINS LLP

885 Third Avenue New York, NY 10022 212.906.1331 clement.naples@lw.com

Lisa K. Nguyen

LATHAM & WATKINS LLP

140 Scott Drive Menlo Park, CA 94025 650.328.4600 lisa.nguyen@lw.com

Attorneys for Defendants Government Employees Insurance Company and GEICO Advantage Insurance Company

/s/ Emma Harty

David A. Roodman #6205845 Nick E. Williamson (pro hac vice)

Emma C. Harty (pro hac vice)

BRYAN CAVE LLP

One Metropolitan Square 211 North Broadway, Suite 3600

Telephone: (314) 259-2000 Facsimile: (314) 259-2020

St. Louis, MO 63102-2750

daroodman@bryancave.com

emma.harty@bryancave.com
Walter Jones, Jr. (ARDC No. 1365665) Jorge Cazares (ARDC No. 6203952) Rachel C. Steiner (ARDC No. 6284064) PUGH, JONES & JOHNSON 180 North LaSalle Street Suite 3400 Chicago, IL 60601 Telephone: (312) 768-7800 Facsimile: (312) 768-7801 wjones@pjjlaw.com jcazares@pjjlaw.com rsteiner@pjjlaw.com
Attorneys for Defendant Fiserv, Inc. and Sovereign Bank, N.A.
/s/ William J. Robinson William J. Robinson Jean-Paul Ciardullo Justin Sobaje FOLEY & LARDNER LLP 555 South Flower Street, Suite 3500 Los Angeles, CA 90071 wrobinson@foley.com jciardullo@foley.com jsobaje@foley.com Jason J. Keener III FOLEY & LARDNER LLP 321 North Clark Street, Suite 2800 Chicago, IL 60654 312.832.4500 jkeener@foley.com Attorneys for Defendants Juno Online Services, Inc., NetZero, Inc. and Classmates, Inc.
/s/ Jeffrey S. Standley Jeffrey S. Standley F. Michael Speed, Jr. Beverly A. Marsh STANDLEY LAW GROUP LLP

6300 Riverside Drive Dublin, OH 43017 Tel: 614.792.5555 jstandley@standleyllp.com mspeed@standleyllp.com bmarsh@standleyllp.com Attorney for Defendant Humana Inc.
Matthew C. Gaudet John R. Gibson DUANE MORRIS LLP 1075 Peachtree Street NE, Suite 2000 Atlanta, GA 30309 404.253.6934 jrgibson@duanemorris.com mcgaudet@duanemorris.com mcgaudet@duanemorris.com Rachael G. Pontikes DUANE MORRIS LLP 190 South LaSalle Street Suite 3700 Chicago, Illinois 60603 (312) 499-6757 (Telephone) (312) 277-6903 (Facsimile) rgpontikes@duanemorris.com wjameson@duanemorris.com Attorney for Defendants Earthlink, Inc. and CoxCom, LLC
/s/ Zach Hilton CARSTENS & CAHOON, LLP Hilton@cclaw.com Attorneys for Defendant TrueBeginnings, LLC
/s/ John B. Campbell John B. Campbell MCKOOL SMITH, P.C.

300 W. 6 th Street, Suite 1700 Austin, TX 78701 512.692.8730 jcampbell@mckoolsmith.com Ivan Wang MCKOOL SMITH, P.C. 300 Crescent Court, Suite 1500 Dallas, TX 75201 214.978.4247 iwang@mckoolsmith.com Attorneys for Defendant American Airlines, Inc.
/s/Stephen E. Baskin Stephen E. Baskin Dara M. Kurlancheek MAYER BROWN LLP 1999 K. Street, N.W. Washington, D.C. 20006 202.263.3364 sbaskin@mayerbrown.com Melissa A. Anyetei MAYER BROWN LLP 71 S. Wacker Street Chicago, IL 60606 Attorneys for Defendant BancorpSouth, Inc.
/s/ John D. Clayman Frederic Dorwart, OBA No. 2436 John D. Clayman, OBA No. 11790 Texas Bar No. 24066338 FREDERIC DORWART, LAWYERS Old City Hall 124 East 4 th Street Tulsa, Oklahoma 74103 Telephone (918) 583-9922 Facsimile: (918) 584-2729 jclayman@fdlaw.com Attorneys for Defendant

BOKF, NA
/s/Kal K. Shah
Kal K. Shah
EDWARDS WILDMAN PALMER LLC
225 W. Wacker Drive
Chicago, IL 60606
312-201-2000
kshah@edwardswildman.com
Edwards Wildman Palmer LLP
225 West Wacker Drive, Suite 3000
Chicago, IL 60606
Direct: + 1 312 201 2225
[Internal Extension 3225]
Fax: + 1 855 572 1965
Main: + 1 312 201 2000
kshah@edwardswildman.com
www.edwardswildman.com
Attorneys for Defendant
Orbitz LLC

CERTIFICATE OF SERVICE

I hereby certify that on June 5, 2014, I electronically filed the foregoing document with the Clerk of the Court using the CM/ECF system, which will send notification of such filing to all counsel of record.

/s/ Timothy E. Grochocinski
Timothy E. Grochocinski